



EQUIPPING A SMALL FINTECH FIRM TO PUNCH ABOVE ITS WEIGHT AND DEMONSTRATE COMPLIANCE.

Setting them up for success today and also as they grow.

CYBERSECURITY

THE CHALLENGE

A small Fintech company needed to demonstrate compliance while missing key leadership in critical roles. Even though they were not a large financial juggernaut, they still needed to comply with the same requirements. However due to a limited budget, they were unable to bring in necessary resources to meet those conditions. In order to focus on the goals of their current growth cycle, they were challenged with filling in crucial knowledge gaps and developing the structure necessary to demonstrate compliance.

VERTEX11'S ROLE

- Customized a compliance solution
- Pulled together resources needed for all requirements
- Found and filled critical gaps in process, technology, and people so they could focus on growth
- Maximized their appropriated budget
- Defined job descriptions and interviewed potential candidates to fill key roles

The Fintech company was able demonstrate all compliance requirements on a limited budget. We helped them fill essential positions until they could grow enough to hire their own people to take over those roles. With everything in place, the company was able to demonstrate compliance, meet stakeholders' expectations, and focus on continued growth.

SUCCESS STORY

THE VERTEX11 ADVANTAGE

At Vertex11, we're able to operate autonomously due to our vast experience and expertise. So clients like this Fintech company don't need to be prescriptive in telling us what they need. We see the gaps and are able to address those independently without the client's involvement in the day-to-day. This is possible because we always establish trust early in the relationship. Trust in both our judgment and in our ability to deliver success, because we've done these types of jobs many times before. We were also able to create a customized solution based on their appropriated budget and size by leveraging an existing solution framework to save time and money. Some of our competitors would have thrown a lot of people and hours at the project, unnecessarily inflating the budget. We instead remained lean and worked within budget to overcome this Fintech's challenges. Just another one of the key advantages to partnering with Vertex11.

AREAS OF EXPERTISE



Cybersecurity



Risk Management



Continuity of Operations



Data Protection & Governance

Vertex11 is your premier resource for Cybersecurity, Risk Management, Continuity of Operations, and Data Protection & Governance. With the full capability to support you and your company across any engagement model including recruiting, staff augmentation, VCISO, VGRG, VCRO, advisory, managed services, and beyond. **To learn more visit Vertex11.com.**
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